«APPROVED»
by the decision of extraordinary general shareholders' meeting of «KOKAND BIOCHEMICAL»
May 7, 2022 year

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Chairman of the meeting signature

JOINT-STOCK COMPANY «KOKAND BIOCHEMICAL»

REGULATION

ON THE ANTIMONOPOLY COMPLIANCE SYSTEM

2022 year

1. General provisions.

1. This Regulation defines the procedure for the implementation of the antimonopoly compliance system, its functioning and control in JSC "QOQON BIOKIMYO".

1.1. The following basic concepts are used in this Regulation:

Antimonopoly authority — Antimonopoly Committee of the Republic of Uzbekistan and its territorial administrations;

Antimonopoly compliance – a system of internal organizational measures and procedures aimed at ensuring compliance of activities with competition law, identifying risks of violations and preventing them;

Report on compliance with antimonopoly legislation – a document containing information on the organization of compliance with the competition legislation of JSC "KOKON BIOKIME";

Authorized person (department) — write the name of the authorized person or department (department);

Transactions - transactions related to the purchase and sale of goods and services, including electronic transactions.

1.2. The main objectives of the antimonopoly compliance system are:

- Identification and assessment of potential risks of violation of the requirements of competition legislation and their management;
- Ensuring and monitoring compliance with the requirements of regulatory legal documents on competition in JSC "QO’QON BIOKIMYO";
- Prevention of violations of the requirements of the competition law in the activities of JSC "QO’QON BIOKIMYO" and anticompetitive behavior of managers in the performance of their duties;
- Evaluation and ensuring the effectiveness of the antimonopoly compliance system in JSC "QO’QON BIOKIMYO"; To prevent violations of the requirements of competition law among employees (name of the public administration body) and to form their opinion on competition law through regular training in this direction.

2. Organization of the antimonopoly compliance system

2. The authorized person (department) carries out:

- monitoring of violations of the requirements of the competition legislation;
- analysis of materials related to the activity (including consideration of internal documents aimed at identifying norms restricting competition and (or) the rights and interests of consumers), development of measures aimed at eliminating the identified shortcomings;
- identify factors that may cause competition restrictions and develop proposals to eliminate them;
- coordination of interaction with other structural units on issues related to the functioning of the antimonopoly compliance system;
- organization of internal investigations related to violation of competition law requirements;
- exchange of information with the antimonopoly authority on violations of competition law requirements;
- to ensure the development and implementation of the annual "Roadmap" in order to reduce the risks of violation of the requirements of competition law;
- monitoring of changes in competition legislation, as well as making proposals for making appropriate changes to departmental documents;
- determine the risks of violating the requirements of competition law, keep records of situations related to risks, and determine the likelihood of their occurrence;
- Identification of conflicts of interest that may cause restriction of competition in the activities of JSC "QO'QON BIOKIMYO", development of proposals for their elimination;
- Providing consultations to employees of JSC "QO'QON BIOKIMYO" on issues related to compliance with the requirements of competition law;
- regular training on antimonopoly compliance;
- To study the draft documents adopted by JSC "QO'QON BIOKIMYO" and inform the head about it in case of detection of norms that may entail violations of the requirements of legislative documents on competition;
- submit a report on compliance with antimonopoly legislation to management for approval by February 1 of each year.

2.1 The authorized person (department) reports directly to the head and is accountable.

3. Establishment of violation of the requirements of legislative documents on competition

3. In order to determine the risks of violation of the requirements of the competition law in JSC "QO'QON BIOKIMYO", an authorized person (subdivision) carries out:

- The study of regulatory legal documents in order to determine the norms and rules that lead to violation of the requirements of legislative documents on competition or to restrict competition in the market;
- Study of the introduction of new restrictions, new types of licensing procedures, additional requirements or conditions for obtaining licenses, as well as permits or licenses, as well as technical regulatory requirements;
- Analysis of compliance with antimonopoly requirements for trading and exchanges;
- Examines the compliance of public procurement with the requirements of competition law;
- Analysis of cases of violation of the requirements of the established competition legislation;
- Monitoring and analysis of the practice of applying competition law;
- Regular assessment of the effectiveness of developed and implemented measures to reduce the risks of violation of the requirements of competition law;
- Analysis of possible antimonopoly risks and compilation of their list in the form of a compliance risk map;

Regular assessment of the effectiveness of measures being developed and implemented to reduce the risks of violating the requirements of competition legislation.

3.1. Implementation of other measures aimed at the effective functioning of the antimonopoly compliance system, arising from the nature of the activities of JSC "QO'QON BIOKIMYO" and in agreement with the management.

3.2. The risks determined by the violation of the requirements of the competition law are distributed by the authorized person (division) according to the levels in accordance with the annex to this Regulation.

3.3. Information on the analysis, identification and assessment of risks of violation of antimonopoly legislation requirements is included in the report on compliance with antimonopoly legislation.

4. Evaluation of the effectiveness of the antimonopoly compliance system

4.1. The calculation of the main indicators for evaluating the effectiveness of the antimonopoly compliance system in JSC "QO'QON BIOKIMYO" is based on the methodology developed by the antimonopoly authority.

4.2. The authorized person (department) evaluates the main performance indicators of the antimonopoly compliance system in JSC "QO'QON BIOKIMYO" (at least once a year).

4.3. Information on the achievement of the main performance indicators of the antimonopoly compliance system of JSC "QO'QON BIOKIMYO" will be included in the report on compliance with antimonopoly legislation.

5. Report on compliance with antimonopoly legislation

5.1. The report on compliance with antimonopoly legislation includes:

- the results of the risk assessment of violations of the requirements of legislative documents on competition and the implementation of measures to eliminate them;
- information on the achievement of key performance indicators of the antimonopoly compliance system.

5.2. The report on compliance with antimonopoly legislation approved by JSC "QO'QON BIOKIMYO" is posted on the official website of JSC "QO'QON BIOKIMYO" and sent to the antimonopoly authority within three working days.

CLASSIFICATION OF TYPICAL RISKS*

according to the degree of violation of the

requirements of the competition law

* Classification of risks according to the degree of violation of the requirements of competition law has a recommendatory value and can be changed depending on the specifics of market activity.

Estimation of probability (probability of occurrence)	**Оқибатлар даражаси**		
	Low	**Average**	**High**
High probability			
Average probability			
Low probability			